



APPLICANT(s): ABUTALEBI, et al.

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ART UNIT:

FÍLING DATE:

8/18/03

EXAMINER:

TITLE:

METHOD AND SYSTEM FOR PROCESSING SUBBAND

SIGNALS USING ADAPTIVE FILTERS

ATTORNEY

DOCKET NO.:

881-011446-US (PAR)

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b)(3))

Sir:

This information disclosure statement is being filed before the mailing of a first Office Action on the merits.

The following information is being disclosed to the Patent and Trademark Office as information that may be material to the examination of the above-identified patent application. Applicants' Attorney is aware of the following patents:

US 5,329,587

US 5,267,266

US 6,240,192 B1

US 6,236,731 B1

"Multirate Repeating Method for Alias Free Subband Adaptive Filters", Nishikawa, et al., IEICE TRANS. FUNDAMENTALS, VOL. E85-A, NO. 4 APRIL 2002, page 776-783

"Adaptive Filtering in Subbands with Critical Sampling: Analysis, Experiments, and Application to Acoustic Echo Cancellation", Gilloire, et al., IEEE TRANSACTIONS ON SIGNAL PROCESSING, VOL. 40, No. 8, August 1992, page 1862-1875

"Parallel DAF Measurement Device (PDMD) for Non-Instrusive Whitening of Speech", Wai Pang Ng, et al., page 1052-1056

"On the Use of A Modified Fast Affine Projection Algorithm in Subbands for Acoustic Echo Cancelation", Q. G. Liu, et al., INRS-Telecommunications, Proc. 1996 IEEE Digital Signal Processing Workshop, pages 354-357

"Double-Talk Robust Fast Converging Algorithms For Network Echo Cancellation", Tomas Gansler, et al., Proc. 1999 IEEE Workshop on Applications of Signal Processing to Audio and Acoustics, New Paltz, New York, Oct. 17-20, 1999, pages 215-218

"Performance Comparisons of Two Adaptive Subband Structures",
Mariane R. Petraglia, et al., 1996 IEEE, pages 235-238

"An Adaptive Filtering Algorithm Using an Orthogonal Projection to an Affine Subspace and its Properties", Kazuhiko Ozeki, et al., Electronics and Communications in Japan, Vol. 67-A, No. 5, 1984, pages 19-27

"RLS Algorithm for a New Subband Adaptive Structure with Critical Sampling", R.G. Alves, et al., 1998 IEEE, pages 442-447

"Sub-band Adaptive Signal Processing in an Oversampled Filterbank", pages 1-15

"Highly Oversampled Subband Adaptive Filters for Noise Cancellation on a Low-Resource DSP System", King Tam, et al., pages 1-4

"A Set of Algorithms Linking NLMS and Block RLS Algorithms",
Mohsen Montazeri, et al., IEEE Transactions on Signal Processing,
Vol. 43, No. 2, February 1995, pages 444-453

"Robust Fast Affine Projection Algorithm for Acoustic Echo Cancellation", Ville Myllyla, Darmstadt University of Technology, Institute of Communication Technology, pages 1-4

"Polyphase Analysis of Subband Adaptive Filters", Stephan Weiss, et al., pages 1-5

"On Adaptive Filtering in Oversampled Subbands", Stephan Weib, 1998, pages 1-213

"Frequency-Domain and Multirate Adaptive Filtering", John J. Shynk, IEEE SP Magazine, January 1992, pages 14-37

"Slow Asymptotic Convergence of LMS Acoustic Echo Cancelers",
Dennis R. Morgan, IEEE Transactions on Speech and Audio
Processing, Vol. 3, No. 2, March 1995, pages 126-136

ISCAS '98, Proceedings of the IEEE 1998 International Symposium on Circuits and Systems, IEEE Catalog Number: 98CH36187, "A Flexible Filterbank Structure For Extensive Signal Manipulations in Digital Hearing Aids", Robert Brennan, pages VI-569 - VI-572

"Adaptive Noise Cancelling: Principles and Applications", Bernard Widrow, et al., Proceedings of the IEEE, Vol. 63, No. 12,

December 1975, pages 1692 - 1716

Copies of these references are enclosed together with a Form PTO-1449.

The filing of this Statement is not to be construed as a representation that a search has been made regarding the claimed invention (37 C.F.R. \$1.97(g)) or that no other possible material information exists. In addition, the filing of this Information Disclosure Statement is not to be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability (37 C.F.R. \$1.97(h)).

The Commissioner is hereby authorized to charge payment for any fees associated with this communication or credit any over payment to Deposit Account No. 16-1350.

espectfully submitted,

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope address to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Page No.: 1 of: 2

INFORMATION DISCLOSURE Docket No.: 881-011446-US (PAR) Serial No.: 10/642,847 **CITATION FORM FOR PATENT APPLICATION** Applicant(s): ABUTALEBI, et al. **FORM PTO-1449**) (Substitute) Group: Filing Date: 8/18/03 2 5 2005 2 **U.S. PATENTS** Initials Name Filing date Patent Number Class Sub-Issue Date class 5,329,587 Morgan et al. 379 410 3/12/93 7/12/94 5,267,266 Chen 375 14 5/11/92 11/30/93 4/16/98 6,240,192 5/29/01 Brennan et al. 381 314 316 4/16/98 6,236,731 5/22/01 Brennan et al. 381 U.S. PATENT PUBLICATIONS Initials Class Sub-Filing Date Pub. Date Name Publication No. class FOREIGN PATENT DOCUMENTS Translation? **Initials Document Number** Date Country Name Yes/No/n/a OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known) "Multirate Repeating Method for Alias Free Subband Adaptive Filters", Nishikawa, et al., IEICE TRANS. FUNDAMENTALS, VOL. E85-A, NO. 4 APRIL 2002, page 776-783 "Adaptive Filtering in Subbands with Critical Sampling: Analysis, Experiments, and Application to Acoustic Echo Cancellation", Gilloire, et al., IEEE TRANSACTIONS ON SIGNAL PROCESSING, VOL. 40, No. 8, August 1992, page 1862-1875 "Parallel DAF Measurement Device (PDMD) for Non-Instrusive Whitening of Speech", Wai Pang Ng. et al., page 1052-1056 "On the Use of A Modified Fast Affine Projection Algorithm in Subbands for Acoustic Echo Cancelation", Q. G. Liu, et al., INRS-Telecommunications, Proc. 1996 IEEE Digital Signal Processing Workshop, pages 354-357 "Double-Talk Robust Fast Converging Algorithms For Network Echo Cancellation", Tomas Gansler, et al., Proc. 1999 IEEE Workshop on Applications of Signal Processing to Audio and Acoustics, New Paltz, New York, Oct. 17-20, 1999, pages 215-218 "Performance Comparisons of Two Adaptive Subband Structures", Mariane R. Petraglia, et al., 1996 IEEE, pages 235-238 "An Adaptive Filtering Algorithm Using an Orthogonal Projection to an Affine Subspace and its Properties", Kazuhiko Ozeki, et al., Electronics and Communications in Japan, Vol. 67-A, No. 5, 1984, pages 19-27 Examiner's Signature: Date Considered: Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered.

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INFORMATION DISCLOSURE Docket No.: 881-011446-US (PAR) Serial No.: 10/642,847 CITATION FORM FOR PATENT APPLICATION Applicant(s): ABUTALEBI, et al. (FORM PTO-1449) (Substitute) Group: Filing Date: 8/18/03 U.S. PATENTS Patent Number Issue Date Name Class Sub-Filing date **Initials** class U.S. PATENT PUBLICATIONS Sub-**Initials** Pub. Date Name Class Filing Date Publication No. class FOREIGN PATENT DOCUMENTS Initials Document Number Date Country Name Translation? Yes/No/n/a OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known) "RLS Algorithm for a New Subband Adaptive Structure with Critical Sampling", R.G. Alves, et al., 1998 IEEE, pages 442-447 "Sub-band Adaptive Signal Processing in an Oversampled Filterbank", pages 1-15 "Highly Oversampled Subband Adaptive Filters for Noise Cancellation on a Low-Resource DSP System", King Tam, et al., pages 1-4 "A Set of Algorithms Linking NLMS and Block RLS Algorithms", Mohsen Montazeri, et al., IEEE Transactions on Signal Processing, Vol. 43, No. 2, February 1995, pages 444-453 "Robust Fast Affine Projection Algorithm for Acoustic Echo Cancellation", Ville Myllyla, Darmstadt University of Technology, Institute of Communication Technology, pages 1-4 "Polyphase Analysis of Subband Adaptive Filters", Stephan Weiss, et al., pages 1-5 "On Adaptive Filtering in Oversampled Subbands", Stephan Weib, 1998, pages 1-213 "Frequency-Domain and Multirate Adaptive Filtering", John J. Shynk, IEEE SP Magazine, January 1992, pages 14-37 "Slow Asymptotic Convergence of LMS Acoustic Echo Cancelers", Dennis R. Morgan, IEEE Transactions on Speech and Audio Processing, Vol. 3, No. 2, March 1995, pages 126-136 ISCAS '98, Proceedings of the IEEE 1998 International Symposium on Circuits and Systems, IEEE Catalog Number: 98CH36187, "A Flexible Filterbank Structure For Extensive Signal Manipulations in Digital Hearing Aids", Robert Brennan, pages VI-569 - VI-572 "Adaptive Noise Cancelling: Principles and Applications", Bernard Widrow, et al., Proceedings of the IEEE, Vol. 63, No. 12, December 1975, pages 1692 - 1716 Examiner's Signature: Date Considered: Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered.

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